

Disclosure with respect to compliance with Annual compliance audit requirement under Regulation 19(3) of SEBI(Investment Advisers) Regulations,2013 for last and current financial years are as under : Sr. no	Financial Year	Compliance Audit Status	Remarks, If any
1	FY 2020-21	Not Applicable	NA
2	FY 2021-22	Conducted	<p>The Principal Officer has been advised to register and clear the NISM certification at the earliest available date for the examination.</p> <p>Accordingly, registration has been done by the Principal Officer and the certification was cleared.</p>
3	FY 2022-23	Conducted	Nil observations
4	FY 2023-24	Conducted	Nil observations